# Elsevier Editorial System(tm) for Social Science & Medicine Manuscript Draft

# Manuscript Number:

Title: Closing the health and nutrition gap in Odisha, India: A case study of how transforming the health system is achieving greater equity

Article Type: SI: Health Systems in Asia 2013

Keywords: Odisha State, India, health equity, health systems strengthening.

Corresponding Author: Ms. Deborah Thomas, M.A., B.Sc (Econ)

Corresponding Author's Institution: Options Consultancy Services Ltd.

First Author: Deborah Thomas, M.A., B.Sc (Econ)

Order of Authors: Deborah Thomas, M.A., B.Sc (Econ); Biraj Laxmi Sarangi; Anu Garg; Arti Ahuja; Pramod Meherda; Sujata Karthikeyan; Pinaki Jodar; Rajendra Kar; Jeetendra Pattnaik; Ramesh Druvasula

Manuscript Region of Origin: INDIA

Abstract: Health equity is high on the international agenda. This study provides evidence of how health systems can be strengthened to improve health equity in a low-income state. The paper presents a case study of how the Government of Odisha in eastern India is transforming the health system for more equitable health and nutrition outcomes. Odisha has a population of over 42 million, high levels of poverty, and poor maternal and child health concentrated in its Southern districts and among Scheduled Tribe and Scheduled Caste communities. Conducted between 2008 and 2012 with the Departments of Health and Family Welfare, and Women and Child Development, the study reviewed a wide range of literature including policy and programme documents, evaluations and studies, published and grey material, and undertook secondary analysis of state level household surveys. It identifies innovative and expanded provision of health services, reforms to the management and development of human resources for health, and the introduction of a number of cash transfer and entitlement schemes as contributing to closing the gap between maternal and child health and nutrition outcomes of Scheduled Tribes, and the Southern districts, compared to the state average. The institutional delivery rate for Scheduled Tribes has risen from 11.7% in 2005-06 to 67.3% in 2011, and from 35.6% to 79.8% for all women. The social gradient has also closed for antenatal and postnatal care and immunisation. Nutrition indicators though improving are proving slower to budge. The paper identifies how political will, committed policy makers and fiscal space energised the health system to promote equity. Sustained political commitment will be required to address the more challenging human resource, health financing and gender issues.

**Title**: Closing the health and nutrition gap in Odisha, India: A case study of how transforming the health system is achieving greater equity

#### **Authors:**

- 1. Deborah Thomas <sup>a</sup>
- 2. Biraj Laxmi Sarangi <sup>a</sup>
- 3. Anu Garg <sup>c</sup>
- 4. Arti Ahuja <sup>b</sup>
- 5. Pramod Meherda <sup>c</sup>
- 6. Sujata R. Karthikeyan b
- 7. Pinaki Joddar a
- 8. Rajendra Kumar Kar <sup>a</sup>
- 9. Jeetendra Pattnaik <sup>a</sup>
- 10. Ramesh Druvasula <sup>a</sup>
- 11. Alison Dembo Rath a

## **Corresponding author:**

Deborah Thomas. dthomas@granegger.net

Options Consultancy Services Ltd., Devon House, 58 St Katharine's Way, London, E1W 1LB. UK.

Tel: +1 778 678 4601

#### **Acknowledgements:**

The Odisha Technical & Management Support Team (TMST) supports the Odisha Health and Nutrition Sector Plan with funding from UKaid. The views expressed do not necessarily represent the policy or views of either the Government of Odisha or the Government of UK. TMST is led by Options Consultancy Services and IPE Global together with CARE India. During the preparation of this paper, Ramesh Druvasula sadly passed away and we express our gratitude for his valuable contribution to this study.

<sup>&</sup>lt;sup>a</sup> Technical Management Support Team (TMST), Behind Capital Hospital, 1st Floor of NVPDCP (National Vector Borne Disease Control Program), Bhubaneswar, Odisha, India.

<sup>&</sup>lt;sup>b</sup> Department Of Women and Child Development, Government of Odisha, Secretariat, Bhubaneswar, Odisha, India.

<sup>&</sup>lt;sup>c</sup> Department of Health and Family Welfare, Government of Odisha, Secretariat Bhubaneswar, Odisha, India.

Authors	Email ID
Deborah Thomas	dthomas@granegger.net
Biraj Laxmi Sarangi	blsarangi@careindia.org
Anu Garg	anugarg3@gmail.com
Arti Ahuja	arti.manoj@gmail.com
Pramod Meherda	meherda@yahoo.com
Sujata Karthikeyan	sujatakarthikeyan@icloud.com
Pinaki Jodar	pjoddar@ipeglobal.com
Rajendra Kar	rkkar2002@yahoo.com
Jeetendra Pattnaik	jeetupat@hotmail.com
Ramesh Druvasula	
Alison Dembo Rath	a.demborath@options.co.uk

Highlights (for review)

# Closing the Health and Nutrition Gap in Odisha State, India: A case study of how transforming the health system is achieving greater equity

# **Research highlights**

- Health system strengthening can contribute to more equitable health outcomes.
- Political will, supportive policy, and fiscal space are key enabling factors.
- An uncontested geographical focus mobilised support for the equity agenda.
- Social gradient of maternal and child health outcomes is closing in Odisha.
- Nutrition outcomes and gender inequalities are slower to improve.

# Closing the Health and Nutrition Gap in Odisha State, India: A case study of how transforming the health system is achieving greater equity

#### **Abstract**

Health equity is high on the international agenda. This study provides evidence of how health systems can be strengthened to improve health equity in a low-income state. The paper presents a case study of how the Government of Odisha in eastern India is transforming the health system for more equitable health and nutrition outcomes. Odisha has a population of over 42 million, high levels of poverty, and poor maternal and child health concentrated in its Southern districts and among Scheduled Tribe and Scheduled Caste communities. Conducted between 2008 and 2012 with the Departments of Health and Family Welfare, and Women and Child Development, the study reviewed a wide range of literature including policy and programme documents, evaluations and studies, published and grey material, and undertook secondary analysis of state level household surveys. It identifies innovative and expanded provision of health services, reforms to the management and development of human resources for health, and the introduction of a number of cash transfer and entitlement schemes as contributing to closing the gap between maternal and child health and nutrition outcomes of Scheduled Tribes, and the Southern districts, compared to the state average. The institutional delivery rate for Scheduled Tribes has risen from 11.7% in 2005-06 to 67.3% in 2011, and from 35.6% to 79.8% for all women. The social gradient has also closed for antenatal and postnatal care and immunisation. Nutrition indicators though improving are proving slower to budge. The paper identifies how political will, committed policy makers and fiscal space energised the health system to promote equity. Sustained political commitment will be required to address the more challenging human resource, health financing and gender issues.

#### **Key words**

Odisha State, India, health equity, health systems strengthening.

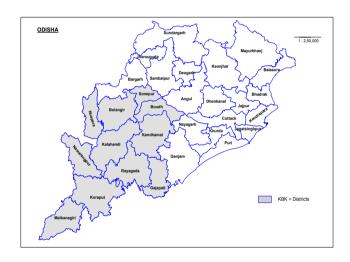
#### Introduction

Health equity is an established principle of health policy in India that has proven elusive in practice. International attention to the social determinants of health has placed equity high on the international agenda (WHO, 2008). In India, this has been accompanied by rising political and civil pressure to achieve universal health coverage (Government of India, 2011) and rid the country of entrenched social inequalities in health (Reddy, Patel, Jha, Paul, Shiva Kumar, & Dandona, 2011). This article draws from the international literature on the social determinants of health and how

health systems can promote health and gender equity (Gilson, Doherty, Loewenson, & Francis, 2007). Conducted between 2008 and 2012, the paper presents a case study of how Odisha State in eastern India (formerly known as Orissa) is transforming the health system to be more inclusive, to increase access to services of the most disadvantaged, and achieve more equitable health and nutrition outcomes. The paper discusses the enabling policy environment that supported the equity agenda, and how the state government strengthened the health system to better reach underserved communities. It goes on to present early signs of more equitable maternal and child health and nutrition outcomes, and the direction that the equity response appears to be moving towards.

#### Health and inequity in Odisha State





Odisha's population of 42.0 million includes large numbers of Scheduled Tribe (23%) and Scheduled Caste (17%) populations (Government of India, 2011). With an estimated poverty rate of 32.6% (Planning Commission of India, 2013), the distribution of poverty reflects the social and spatial contours of inequality. Schedule Tribes and Scheduled Castes, and the Southern belt of districts known as KBK+ are more likely to

be poor. The KBK+ districts are Kalahandi, Bolangir, Boudh, Gajapati, Khandamal, Koraput, Malkangiri, Nabarangpur, Nuapada, Rayagada, Sonepur (see map). KBK+ with an estimated population of 10.4 million in 2011 has a larger proportion of Scheduled Tribe and Scheduled Caste populations (56%) than non-KBK+ areas (35%), low human development indicators, low food security, poor road connectivity, and dense hilly terrain (Arjan and Dubey, 2005). Rural poverty in Odisha reaches its highest level in Nabarangpur District in KBK+ at 80.6% (Chaudhuri and Gupta, 2009).

Despite high levels of poverty in the state, there have been notable improvements in health outcomes. The state infant mortality rate has reduced from 112.1 per 1,000 live births in 1998-99 (National Family Health Survey (NFHS-2), 1998-99), to 59 in 2011-12 (Annual Health Survey, 2011-12) and likewise under-five mortality has declined from 131 per 100,000 live births in 1998-99 to 79 in 2011-12. Slower progress has been achieved in reducing the maternal mortality ratio, which has declined from 258 per 100,000 live births in 2007-09 (Sample Registration System, 2011) to 237 in

2011-12 (Annual Health Survey, 2011-12). Inequality in child mortality by socio-economic status, caste and ethnicity, and location persist. The District Level Household and Facility Survey (DLHS-3), 2007-8, found an under-five mortality rate of 119 for Scheduled Tribes compared to 104 for Scheduled Caste and 70 for other caste groups. Das, Kapoor, and Nikitin's (2010) analysis of tribal child mortality in India found Scheduled Tribe status stronger than poverty in determining child mortality for the 1-5 age group. Gender is also an important health determinant with the National Family Health Survey (NFHS-3), 2005-06, showing women and girls more anemic and undernourished than men and boys.

#### Methods

This case study reviewed various types of literature to examine how government policies and initiatives in Odisha strengthened the health system to deliver more equitable maternal and child health and nutrition outcomes. The literature reviewed included policy and programme documents, state level household surveys, evaluations and studies of health interventions in Odisha, published and grey material. The research team themselves included government policy makers from the departments of health and family welfare, and women and child development, and a team of technical experts from multidisciplinary backgrounds working with government, funded by the UK Department of International Development.

We undertook secondary analysis of household survey data to identify changes in health and nutrition outcomes over time. To measure changes in household spending on health, data was sourced from various rounds of the Household Consumption Expenditure Surveys conducted by the National Sample Survey Organisation of the Government of India. This data was converted from nominal values to real Indian rupee values at 2004-05 prices, and then converted to US\$ using an average exchange rate between these two currencies for a period between 2005-06 and 2010-11.

We searched the grey and published literature for evidence related to health equity in Odisha, and equity and health systems strengthening. We looked for themes and sub-themes amongst the different information sources, including enablers and barriers to improvements in maternal and child health and nutrition, and triangulated findings where possible.

Table 1: Major policy documents, surveys and studies

Document title	Authors	Date
Policy documents:		
Orissa Health Equity Strategy, 2009-2012	Department of Health and Family Welfare, Government of Orissa	2009
Nutrition Operational Plan, 2009-2015	Department of Women and Child Development, Government of Orissa	2009
Household surveys:		
National Family Health Survey (NFHS-3), 2005-06, Orissa, India	International Institute for Population Sciences (IIPS) and Macro International	2008
District Level Household and Facility Survey (DLHS-3), 2007-08, Orissa, India	International Institute for Population Sciences (IIPS)	2010
Concurrent Monitoring of Village Level Health and Nutrition Services in Odisha	Nielsen	2011
Nutrition Baseline Survey in 15 High Burden and 5 Non- High Burden Districts of Orissa	Nielsen	2011
Annual Health Survey Bulletin 2010-11, Odisha	Office of the Registrar General & Census Commissioner, India	2012
Annual Health Survey Bulletin 2011-12, Odisha	Office of the Registrar General & Census Commissioner, India	2013
Studies and reviews:		
Rapid Review of Janani Express in Orissa	C-TRAN	2009
Public Health Beneficiary Survey	Orissa Technical and Management Support Team	2010
Evaluation of Long Lasting Insectide Nets (LLIN) for Pregnant Women in Odisha	Orissa Technical and Management Support Team	2011
Impact assessment of the Mobile Health Units (MHUs) in Orissa	D-Cor Consulting Private Limited	2011
A Rapid Assessment of Factors Affecting ASHA Roles and Incentives in KBK Region of Odisha	D-Cor Consulting Private Limited	2011
A Study to Assess the Functioning of Frontline Workers in KBK+ and their Potential for Improved Coordination and Convergence in Odisha	Sambodhi	2012

# **Findings**

In this section of the paper, we review key factors that enabled the equity response of the government, and how equity has been integrated into health system strengthening.

#### The enabling environment

Political stability and increasingly broad based economic growth in Odisha since 2003 (World Bank, 2008) underpinned political attention to the social and economic inequalities in the state, particularly the development lag of the predominantly tribal KBK+ districts. The gap between the average per capita net district domestic product in 2009-10 of KBK+ and other districts in Odisha was close to US \$ 80 (or INR 3,500) in real prices (Planning and Coordination Department, 2013). Political commitment was reinforced by state and national efforts to address the inequality and exclusion fuelling left wing extremism in the Southern KBK+ districts.

At the sector level, increased government resourcing of the health sector and more efficient spending accompanied improvements in health outcomes. Between 2005-6 and 2010-11, government aggregate health expenditure rose from US \$ 171 million (INR 7, 580 million) to US \$ 452 (INR 20, 040 million) – an average annual growth of 28%. Per capita health expenditure also increased, but at approximately \$10 per capita in 2010, is far below recommended levels to provide basic essential health services in low-income countries (WHO, 2010).

The political ascendency of social inclusion and equity was met by a health sector readiness to address health inequities. Reform minded leadership, a health policy framework that embraced health equity, and financial and technical assistance to support its implementation, created institutional space for action and innovation. Increasing global and national attention to child undernutrition also raised the political imperative to address the gaps in the government's flagship nutrition programme.

With increasing evidence of the magnitude of health inequity and a supportive policy environment, the Government developed:

- Health Equity Strategy (2009-12) to improve the health of the most disadvantaged people in the State particularly in the KBK+ region (Department of Health and Family Welfare, 2009).
- Nutrition Operational Plan (2009-2015) to reduce malnutrition with equity based targets
   (Department of Women and Child Development, 2009).

Both had a strong geographical focus on the underserved districts of KBK+, and convergent action.

## Geographical focus on the poorest districts (KBK+)

As Gilson, Doherty, Loewenson, & Francis (2007) point out, an essential element of increasing equity in health is the development of context specific approaches tailored to the equity landscape and the

political context. Sector leaders in Odisha framed the equity response to address the health and nutrition gap in the underserved and underdeveloped KBK+. The prioritization of KBK+ resulted in targeted health and development investment, and service delivery innovations for these areas. Expenditures of the National Rural Health Mission reflect this prioritization, as shown in Table 2, where expenditure grew by 45% in KBK+ districts between 2008-09 and 2012-13, in comparison to 28% in other districts.

Table 2: District Specific Expenditure Trends under National Rural Health Mission Across KBK+ and Other Districts of Odisha (US\$ million)

Period	КВК+	Other Districts	ODISHA (All Districts)
2008-09	11	32	43
2009-10	25	57	82
2010-11	28	62	90
2011-12	33	65	98
2012-13	41	79	120
Average Expenditure	28	59	87
Average Annual Growth (%)	45%	28%	32%

Source: Financial Management Reports, State National Rural Health Mission, various years. Note: Expenditure values are presented in the above table after converting Indian rupees to US\$ using the exchange rate 1 US\$ = INR 44.295, an average of exchange rates for 20005-06 to 2010-11.

# Improving service delivery

Multiple service delivery initiatives were undertaken to improve access to services in KBK+. This included the construction and renovation of health facilities, training of community heath workers known as Accredited Social Health Activists (ASHAs) and the introduction of mobile health units. Mobile health units have become the major source of health care in the remote and inaccessible villages they serve. They meet almost 80% of the health care needs of families reporting illness in the past 6 months (D-Cor, 2011), and saved families US\$ 4-6 on travel costs to the nearest primary health care centre or district hospital.

# Tackling malaria

The interaction between poverty and incidence of communicable diseases is well known. Odisha accounts for nearly 25% of malaria deaths in India and 0.4 million of the 1.5 million cases in the country recorded annually (Dhingra, Jha, Sharma, Cohen, Jotkar, Rodriguez, Bassani, Suraweera, Laxminaryan, & Peto, 2010). KBK+ districts contribute 66% of reported malaria deaths in the state though only 25% of the population (National Vector Borne Disease Programme, 2010). Only 11% of

people who died from malaria had had any contact with the health system (Dhingra, Jha, Sharma, Cohen, Jotkar, Rodriguez, Bassani, Suraweera, Laxminaryan, & Peto, 2010). Malaria morbidity and mortality is highest where the penetration of health service is weakest, and where the majority of the population is from tribal communities.

Community-based diagnosis using rapid diagnostic tests for P. falciparum malaria (85% of cases are caused by this parasite) with immediate treatment, and the training of community health workers to diagnose and treat suspected malaria cases has been a major plank of the control strategy. Hussain, Dandona, & Schellenberg (2013) found that more than 20,000 ASHAs have been trained to treat malaria at the village level though gaps in supply chain management weaken their effectiveness.

A second major effort has been distribution of Long Lasting Insecticide Treated Nets (LLIN) in villages where the incidence of malaria is highest; and high coverage levels have been achieved. An evaluation of a scheme to provide LLIN to all pregnant women in five of the KBK+ districts found that 91% of the women had slept under the net during the night prior to the survey; three-quarters of the women belonged to Scheduled Tribe or Scheduled Caste communities (Orissa Technical and Management Support Team, 2011a).

#### Increasing women's access to health services

Women's and girl's low social status and their lack of empowerment impact on their health and well-being. Deep-rooted gender discrimination requires multi-sectoral and broad societal efforts. More modestly, health efforts in Odisha have focused on increasing women's and girl's access to health and nutrition services, and responding to their specific health needs. Making facilities more gender-sensitive through the construction of female toilets, partitions in labor rooms for privacy and dignity, and waiting rooms have been relatively easy improvements. Increasing the availability of female doctors in rural areas is more challenging and faster progress has been made in strengthening the capacity of female frontline workers.

New programmes have been started to address adolescent anemia, and new ways of delivering services, through for example Village Health and Nutrition Days where health and nutrition frontline workers deliver services together, aim to increase reach and female access. Implementation challenges however persist with gaps in the quality of service (Orissa Technical and Management Support Team, 2011b) aggravated by the discrete institutional structures and systems of the two government departments responsible for health and nutrition respectively. The Government of India conditional cash incentive scheme for institutional deliveries, Janani Suraksha Yojana, has increased

access to services, and contributed to the increase in institutional deliveries from 35% in 2005-6 (National Family Health Survey (NFHS-3), 2005-06) to 79.85% in 2011 (Nielsen, 2011).

New social protection programmes, such as Mamata which is a state conditional cash transfer scheme for rural pregnant women and their infants, and Janani Sishu Suraksha Karyakram, a Government of India programme to provide cashless deliveries and the treatment of sick neonates, will further contribute to increased access to maternal and neonatal care. However, an evaluation of Janani Suraksha Yojana shows that increased take-up of institutional deliveries has not impacted on maternal mortality, pointing to the need for more attention to improving the quality of care in addition to access (Randive, Diwan, & de Costa, 2013).

Despite these efforts, much more is needed to tackle the gender discrimination and disempowerment that underpins the gender inequalities in health, to stop violence against women and girls, and the negative personal and public health consequences that result. India's Annual Health Surveys show girls have missed out on reductions in state infant and under-5 mortality. Added to this, there has been a decline in child sex ratios in the more developed belt of the state. There is clearly a need for much greater attention to gender in the future.

Table 3: Infant and Under-Five Mortality Rate by Sex

i data di midina di data i i i di manda di pada							
Infant Mortality Rate			Under 5 Mortality Rate				
	NFHS3 (2005-6)	AHS (2010-11)	SRS (2011)	AHS (2011- 12)	NFHS3 (2005-6)	AHS (2010-11)	AHS (2011- 12)
Boys	75	59	60	56	103.7	80	76
Girls	59.4	66	61	63	84.4	84	81
Total	67.7	62	61	59	90.6	82	79

# Human resources for health

While the focus on KBK+ has been a mobilizing force behind the equity agenda, the Department for Health and Family Welfare seized the opportunity to integrate gender and social equity concerns into on-going health system strengthening. The challenge of bringing and keeping qualified health staff in rural underserved areas of India where working and living conditions are difficult is well known (Mohan, Krishna, Shiva Kumar, Chatterjee, & Sundararaman, 2011). Inequities in the availability of health staff in Odisha underpin the uneven provision of services. To address the gaps, the government introduced the posting of newly appointed doctors to KBK+ for a minimum of three years. Financial incentives for peripheral (US\$ 180 per month) and district hospital doctors (US\$ 90 per month) in KBK+ are being tested and evaluated. Contract doctors prepared to work in peripheral

institutions also receive higher incomes than those in district facilities (US\$ 677 compared to US\$ 564). Experience from other states and countries suggest that monetary incentives for doctors may need to be supplemented by non-monetary ones, such as rural tenure linked to postgraduate training as in Tamil Nadu, to incentivize rural postings and increase doctor retention. Odisha also has a policy of preferential entrance to post-graduate training for doctors who have served in KBK+. There are however concerns that in the absence of a strong performance appraisal system, young doctors use compulsory rural postings more as 'time-out' for textbook study rather than serving patients.

The limitations of a clinician-driven approach have led the Government to focus on strengthening its public health professionals in size, capacity and performance, and to introduce a public health cadre. The government plans to double the number of nurses in the state by 2017 and invest in the professionalization of nursing. Scholarships for female nursing students from Scheduled Caste and Scheduled Tribe backgrounds at US\$ 340 and US\$ 1,130 per annum for non-KBK+ and KBK+ districts respectively were introduced in 2011.

The recent creation of a Human Resource Management Information System has been a vital step towards more rational deployment. Various approaches are being tried to improve the motivation and performance of frontline workers, such as financial incentives for paramedics working in difficult areas; enhanced incentives for ASHAs working in vulnerable geographical pockets; and the creation of rest homes in the vicinity of hospitals for the use of ASHAs accompanying pregnant women.

Addressing the deeper and more difficult institutional and socio-cultural factors that disadvantage women health workers are challenging agendas for the future.

Declines in the state vacancy rates of doctors and staff nurses from 29.1% and 21.8% in 2008-09 to 6.9% and 13.1% in 2012-13 respectively, are indicative results of these multifaceted efforts.

#### Vulnerability mapping and context specific planning

Sub-district or block level vulnerability mapping based on a composite index has been introduced as part of the National Rural Health Mission planning process, and is a step towards district and block wise analysis of health inequity. Based on the assessed level of vulnerability, flexible funding is being provided to block and district managers to reach vulnerable populations. This is fostering local solutions, such as local contracting of paramedics, raising management attention to equity, and making budget allocations more equitable. Categorizing health sub-centres, the lowest level of health facility in the state, according to composite indicators of vulnerability is also being used to prioritize their development.

#### Health financing

Low public spending on health in India results in high private expenditure which hurts the poor the hardest; more than three-quarters of health spending in the country is paid for privately (Balarajan, Selvaraj, & Subramanian, 2011). In India, few people have financial protection against medical expenditure and out of pocket spending on health is a major cause of poverty. Non-treatment also results; 28% of ailments in rural India were reportedly not treated because of cost in 2004-5 (Ministry of Health and Family Welfare, 2009).

Representative sample surveys from 1999-00 and 2007-08 show that monthly per capita household spending on health in Odisha increased from US\$ 0.30 to US\$ 0.54 in rural areas and US\$0.42 to US\$ 1.00 in urban areas (National Sample Survey Organisation, 2001 and 2005). Hospitalization costs are often impoverishing; in 2004 the average annual amount spent per household on hospitalization was US\$ 104 in rural Odisha (National Sample Survey Organisation, 2006). Set against an average per capita annual consumption close to US\$ 135 (National Sample Survey Organisation, 2005), over 50% of hospitalization costs were financed by the sale of assets or loans.

In 2010, the Government of Odisha commissioned a study in 8 districts to take stock of the current level of out-of-pocket spending (OOPS) on health in public facilities (Orissa Technical and Management Support Team, 2010). The Public Health Beneficiary Survey collected data on OOPS from three types of users: (i) hospitalized treatment or indoor patient care, (ii) outpatient medical consultation, and (iii) child delivery (see table 4). As found in earlier National Sample Survey studies, the 2010 Public Health Beneficiary Survey found that the biggest share of OOPS on health was spent on medicines. More than half of the OOPS incurred during hospitalisation was on medicines, and almost half of the OOPS for outpatient consultation.

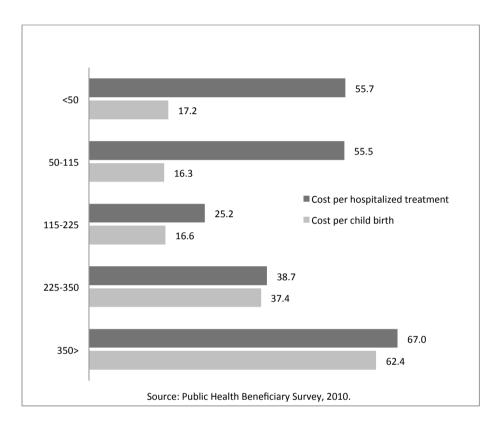
Table 4: Out of Pocket Spending (OOPS) on Health in Odisha (US \$)

Туре	Average	Median
Per Day Expenditure for Hospitalised Treatment (for in-patients)	26	17
Expenditure per Medical Consultation in Out-patient Department	4	2
Child Delivery	18	15

Source: Public Health Beneficiary Survey, 2010. Note: OOPS data was collected in Indian Rupees and converted to US\$ using average exchange rate for a period between 2005-06 and 2010-11.

OOPS incurred by the poor on health care remains high. As can be seen from Figure 2, families with a monthly income of less than US\$ 45 (i.e. INR 2,000) or an income between US\$ 45 and US\$ 113 (i.e. INR 2,000 and 5,000) incurred comparable, if not more, OOPS on hospitalisation than richer sections of society.

Figure 2: OOPS (US\$) on Hospitalized Teatment and Child Delivery by Monthly Household Income Categories, Odisha, 2010



Comparison of data from the Public Beneficiary Household Survey (2010) with the National Sample Survey data of 2004 shows the average OOPS on institutional deliveries in 2004 *at 2010 prices* stood around US\$ 41 for rural areas and US\$ 27 for urban areas respectively. In comparison, the level of spending on institutional deliveries has fallen to US\$ 18 as per 2010 data. This significant drop in cost to the family has contributed to the rapid increase in institutional deliveries in rural areas. Encouragingly, the Public Health Beneficiary Survey found that the burden of OOPS for institutional deliveries is lower for poorer families compared to middle and high income ones. Nevertheless, the out of pocket cost for institutional deliveries are still too high for some, especially where this is inflated by poor physical access and low service availability. Poorer, more underserved and vulnerable women have lower take up rates of Janani Suraksha Yojana (conditional cash incentive for institutional delivery) (Lim, Dandona, Hoisington, James, Hogan, & Gakidou, 2009).

The national insurance scheme for the poor, Rashtriya Swasthya Bima Yojna, provides health insurance close to US\$ 700 per year for families below the poverty line, and has been rolled out across the state. It will potentially reduce high OOPS for hospitalisation of families living below the poverty line, but it is too soon to comment on impact. National evidence that shows that outpatient costs are the major cause of catastrophic spending rather than hospitalisation costs, suggests that the insurance scheme as currently configured will have limited impact on reducing medical impoverishment in Odisha (Shahrawat and Rao, 2011). The Government's decision to introduce Biju Krushak Kalyan Yojana a complementary health insurance scheme for farmers that covers primary and secondary care, and a statewide Emergency Medical Ambulance Service are steps in the right direction. However, in Odisha as in India more widely, financial protection is piecemeal and greater policy coherence at the state and national level is needed.

## The importance of evidence

International experience shows the importance of evidence to frame the health equity challenge, mobilize political support, and inform the design of the equity response. In Odisha, evidence of inequity has been key to leveraging political commitment and informing State action. It has become standard to include measurement and analysis of programme performance from an equity perspective in evaluations. For example, a study into the functioning of ASHAs in various zones across the state provided the evidence to increase financial incentives for women serving small populations, such as in KBK+ (D-Cor, 2010). An evaluation of Janani Express, a transport system for pregnant women, found that lack of incentives for private operators to station vehicles in distant places gave women living in remote areas poorer access to vehicles (C-TRAN, 2009). This has led to the testing of a transport voucher scheme to correct design faults of Janani Express.

The Commission on the Social Determinants of Health stresses the importance of an equity focused approach to monitoring and evaluating health outcomes, health care processes and health system performance. State level household surveys such as National Family Health Surveys which include data disaggregated by sex, poverty, caste and tribal status, and those led by the National Sample Survey Office are important planks of an equity evidence base but insufficient to provide regular outcome data needed to guide state programming. In Odisha, concurrent monitoring at the request of the two departments of health and family welfare, and women and child development is providing independent sex, caste, and tribal disaggregated information on health, nutrition and water and sanitation service coverage, and outcomes. Concurrent monitoring provides the only source of sex and socially disaggregated data down to the sub-district level and is helping to forge convergence between services and strengthen the evidence base for programme management.

# Results: early signs of more equitable service coverage

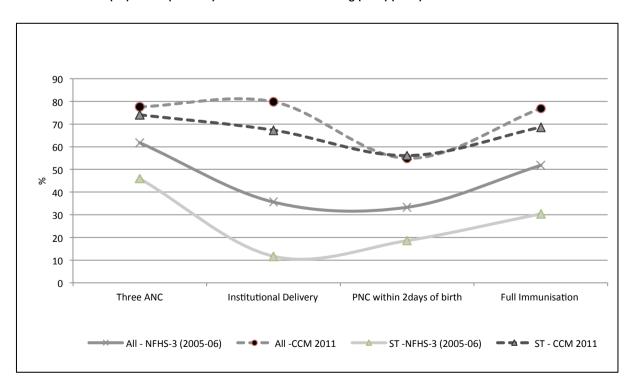
Since the launch of the Health Equity Strategy and Nutrition Operational Plan in 2009, there are early signs of more equitable service coverage in the state. Comparison of institutional delivery coverage between the District Level Household and Facility Survey (DLHS-3) (2007-08) and concurrent monitoring data in 2011, shows coverage has grown at a faster rate in KBK+ districts compared to the state average; resulting in a declining gap.

Table 5: Institutional deliveries in KBK+ districts and Odisha state, DLHS-3 (2007-08) and Concurrent Monitoring (2011)

	DLHS-3 (2007-08)	Concurrent Monitoring (2011)
KBK+ districts	26.45	64.8
Odisha state	44.3	79.8
Low/high ratio	0.59	0.81

State-wide concurrent monitoring data shows that significant gains have been made in reducing the social gradient of key health indicators. The gap between Scheduled Tribe women and children and all women and children in Odisha for utilisation of marker maternal and child health services has declined remarkably (see Figure 3).

Figure 3: Percentage utilisation of MCH services by Scheduled Tribe (ST) women and children and All women and children in Odisha (All): NFHS (2005-06) and Concurrent Monitoring (CCM) (2011)



The wealth gradient of institutional deliveries has also declined with the poorest women experiencing the fastest rate of increase between 2005-06 (NFHS3) and 2011 (Concurrent Monitoring) (see Figure 4).

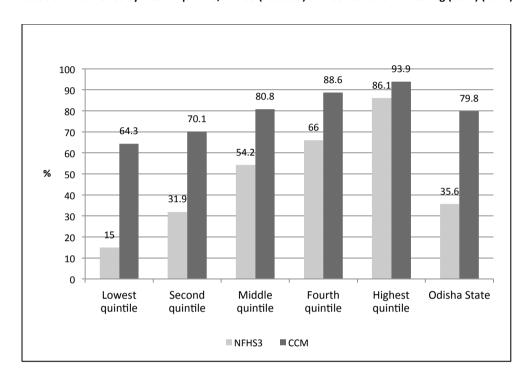


Figure 4: Institutional deliveries by wealth quintile, NFHS3 (2005-06) and Concurrent Monitoring (CCM) (2011)

Evidence from an independent Nutrition Baseline Household Survey (NBLS) (2010), in 15 High Burden and 5 Non-High Burden districts compared to NFHS-3 rural data, suggests that Odisha has made some progress in reducing the number of children who are underweight and stunted but that much more needs to be done.

**Table 6: Trends in nutrition outcomes** 

Nutrition Outcomes	High Burden Districts, NBLS (2011)	Non-High Burden Districts, NBLS (2011)	NFHS III (Rural) (2005- 6)
% children who are underweight	39.3	32.4	42.3
% children who are stunted	40.6	35.5	46.5
% children who are wasted	22.8	24.7	20.5

There are also signs that the nutrition disparities between Scheduled Tribes and others are starting to reduce. There has been a faster decline in the percentage of child stunting among Scheduled Tribes than others (see Figure 5), and a greater decline in the aggregate prevalence of child anemia among Scheduled Tribes compared to other social groups (see Figure 6).

Figure 5: Trends in child stunting in Odisha between NFHS3 (2005-06) and Nutrition Baseline Survey (2011) by social group

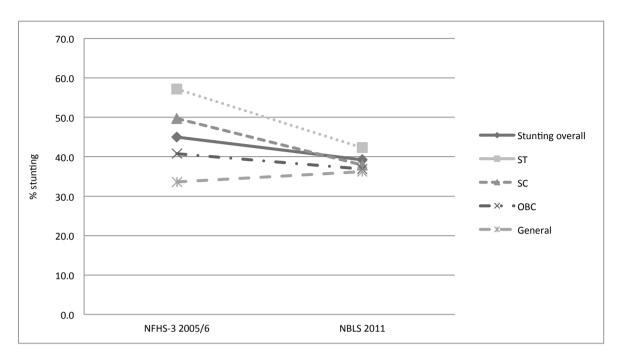
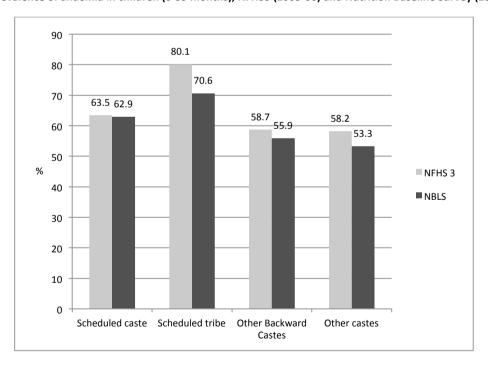


Figure 6: Prevalence of anaemia in children (6-59 months), NFHS3 (2005-06) and Nutrition Baseline Survey (2011)



While the nutritional gains are encouraging, more aggressive efforts are needed to tackle persistent and high anemia across social and income groups, improve infant and young child feeding practices, and mobilize multi-sectoral efforts to reduce child, adolescent girls and maternal under-nutrition.

#### Discussion and conclusion

This case study of Odisha shows how political will and committed policy makers can energise the health system to promote equity in health and nutrition. Flexible budget support from Government of India and development partners, and the availability of technical assistance were further enabling factors. Use of maternal and child health services have become more equitable in Odisha. However, as in the case of institutional deliveries, quality of care remains a major challenge to translating improved access into reduced maternal mortality. Nutrition indicators though improving are proving slower to budge.

Evidence has played an important role in framing the equity response, though this has often been opportunistic, as pathways for mainstreaming gender and social equity have intertwined into ongoing areas of health systems strengthening and political push. The geographical focus of the Odisha response provided a widely accepted entry point for tackling inequity, and an uncomplicated focus to prioritizing investments and challenging norm based programming in favor of context specific solutions. The early focus on KBK+ is in fact now developing into a more sophisticated and more disaggregated analysis of vulnerability that incorporates a number of demand and supply side factors related to geographical remoteness, socio-economic factors, left wing extremism and availability of health workers.

The beginning of the journey has gone well. Some approaches and initiatives have moved at speed while others have been more difficult to tackle. The establishment of a State Level Cross Sector Equity Taskforce provides high level policy direction, and the creation of a Gender and Equity Cell in the Department of Health and Family Welfare provides the institutional base to maintain momentum. More challenging systemic and institutional issues related to health financing and human resources are long term agendas which the state will need the support of the Centre and continuing political will to address. Recent development of a free drug distribution policy and a sharp increase in the state government's per capita drug budget, rising ten fold between 2007-08 and 2013-14 are important steps to addressing the high OOPS on drugs.

Odisha has taken steps towards a social determinants of health approach. While increasing collaboration between the two focal departments is encouraging, broader intersectoral collaboration and policy making will require high level political leadership. Looking to the future, we see that sustained political attention to inclusive development, continued economic growth, expansion of equity approaches to other vulnerable districts where indicators are lagging, and

increasingly loud demands for universal health coverage will be key influences in further shaping the Odisha equity response.

#### References

Balarajan, Y., Selvaraj, S., & Subramanian, S.V. (2011). Health care and equity in India. *The Lancet,* 377 (9764), 505-515.

Chaudhuri, S., & Gupta, N. (2009). Levels of Living and Poverty Patterns: A District-Wise Analysis for India. *Economic and Political Weekly*, *XLIV* (9), 94-110.

C-TRAN. (2009). Rapid Review of Janani Express in Orissa.

Das Maitreyi, B., Kapoor, S., & Nikitin, D. (2010). A Closer Look at Child Mortality among Adivasis in India. *World Bank Policy Research Working Paper* (5231).

D-Cor Consulting Private Limited. (2011a). *Impact assessment of the Mobile Health Units (MHUs) in Orissa*.

D-Cor Consulting Private Limited. (2011b). A Rapid Assessment of Factors Affecting ASHA Roles and Incentives in KBK Region of Odisha.

de Arjan, H., & Dubey, A. (2005). Poverty, Disparaties, or the Development of Underdevelopment in Odisha. *Economic and Political Weekly*, 2321-2329.

Department of Health and Family Welfare. (2009). *Orissa Health Equity Strategy 2009-12*. Bhubaneswar: Government of Orissa.

Department of Women and Child Development. (2009). *Nutrition Operational Plan 2009-13*. Bhubaneswar: Government of Orissa.

Dhingra, N., Jha, P., Sharma, V.P., Cohen, A.A., Jotkar, R.M., Rodriguez, P.S., Bassani, D.G., Suraweera, W., Laxminaryan, R., & Peto, R. (2010). Adult and child malaria mortality in India: a nationally representative mortality survey. *The Lancet, 376* (9754), 1768-1774.

Gilson, L., Doherty, J., Loewenson, R., & Francis, V. (2007). *Challenging inequity through health systems. Final Report Knowledge Network on Health Systems, WHO Commission on the Social Determinants of Health.* WHO.

Government of India. (2011). Census of India Primary Census Abstract – Odisha – 2011.

Hussain, M.A., Dandona, L., & Schellenberg, D. (2013). Pubic health system readiness to treat malaria in Odisha State of India. *Malaria Journal* 12 (351). doi:10.1186/1475-2875-12-351.

International Institute for Population Sciences (IIPS) and ORC Macro. (2000). *National Family Health Survey (NFHS-2), 1998–99: India*. Mumbai: IIPS.

International Institute for Population Sciences (IIPS) and Macro International. (2008). *National Family Health Survey (NFHS-3), India, 2005-06: Orissa*. Mumbai: IIPS.

International Institute for Population Sciences (IIPS). (2010). *District Level Household and Facility Survey (DLHS-3) 2007-08, Orissa, India*. Mumbai: IIPS.

Lim, S., Dandona, L., Hoisington, J.A., James, S.L., Hogan, M.C., & Gakidou, E. (2011). India's Janani Suraksha Yojana, a conditional cash transfer programme to increase births in health facilities: an impact evaluation. *The Lancet. 375* (9730), 2009-2023.

Ministry of Health and Family Welfare. (2009) *National Health Accounts India – 2004-05*. New Delhi: Government of India.

Mohan, R., Krishna, D.R., Shiva Kumar, A.K., Chatterjee, M., & Sundararaman T. (2011). Human Resources for Health in India. *The Lancet* 377 (9765), 587-598. doi:10.1016/S0140-6736(10)61888-0 DOI: 10.1016/S0140-6736(10)61888-0

National Sample Survey Organisation. (2001). *Household Consumption Expenditure in India. July,* 1999 - June, 2000 (NSS 55th Round). New Delhi: Government of India.

National Sample Survey Organisation. (2005). *Household Consumption Expenditure in India. January-June, 2004 (NSS 60th Round)*. Report No 505. New Delhi: Government of India.

National Sample Survey Organisation (2006). *Morbidity, Health Care and Condition of the Aged. January-June, 2004 (NSS 60th Round).* Report No 507. New Delhi: Government of India.

National Vector Borne Disease Programme. (2010). *Epidemiological Data 2010*. Government of Orissa.

Nielsen. (2011a). Concurrent Monitoring of Village Level Health and Nutrition Services in Odisha (2011).

Nielsen. (2011b). Nutrition Baseline Survey in 15 High Burden and 5 Non-High Burden Districts of Orissa.

Office of the Registrar General & Census Commissioner, India . *Annual Health Survey Bulletin 2011-12, Odisha*. New Delhi: Government of India.

Office of the Registrar General & Census Commissioner, India . *Annual Health Survey Bulletin 2010-11, Odisha*. New Delhi: Government of India.

Orissa Technical and Management Support Team. (2010). Public Health Beneficiary Survey.

Orissa Technical and Management Support Team. (2011a). Evaluation of Long Lasting Insectide Nets (LLIN) for Pregnant Women in Odisha.

Orissa Technical and Management Support Team. (2011b). VHND Assessment.

Planning and Coordination Department. *Economic Survey 2012-13*. Bhubaneswar: Government of Odisha

Planning Commission of India. (2011). *High Level Expert Group Report on Universal Health Coverage for India*. New Delhi: Government of India.

Planning Commission of India. (2013). *Press Note on Poverty Estimates, 2011-12.* New Delhi: Government of India.

Randive, B., Diwan, V., & de Costa, A. (2013). India's Conditional Cash Transfer Programme (the JSY) to Promote Institutional Birth: Is There an Association between Institutional Birth Proportion and Maternal Mortality? *PLOS ONE* 8 (6). e67452. doi:10.1371/journal.pone.0067452

Rao, M., Rao, K.D., Chatterjee, M., & Sundararaman, T. (2011). Human resources for health in India. *The Lancet. 377* (9765), 587-598.

Reddy, K.S., Patel, V., Jha, P., Paul, V.K., Shiva Kumar, A.S., & Dandona, L. (2011). Towards achievement of universal health care in India by 2020: a call to action. *The Lancet 377* (9767), 760-768.

Register General of India. (2013). SRS Bulletin. Sample Registration System. New Delhi: Government of India.

Sambodhi. (2012). A Study to Assess the Functioning of Frontline Workers in KBK+ and their Potential for Improved Coordination and Convergence in Odisha.

Shahrawat, R., & Rao, K.D. (2011). Insured yet vulnerable: out-of-pocket payments and India's poor. *Health Policy and Planning*, 1-9.

Shiva Kumar, A.K., Chen, L.C., Choudhury, M., Ganju, S., Mahajan, V., Sinha A., & Sen, A. Financing health care for all: challenges and opportunities. *The Lancet*. *377* (9766), 668-679.

Vujicic, M., Alfano, M., & Shengelia, B. (2010). Getting health workers to rural areas: innovative analytic work to inform policy makers. *Health, Nutrition and Population Discussion Papers*. Washington: World Bank.

WHO Commission on Social Determinants of Health. (2008). *Closing the gap in a generation: health equity through action on the social determinants of health.* Geneva: WHO.

WHO. (2010). The World Health Report. Health Systems Financing. The Path to Universal Coverage. Geneva: WHO.

World Bank. (2008). Orissa in Transition. Challenges for 2006-10. New Delhi: World Bank.

Total word count 6,207

\*Ethics approval/Statement EA not required

Closing the Health and Nutrition Gap in Odisha State, India: A case study of how transforming the health system is achieving greater equity

Ethical approval was not required as all data used by the study was taken from secondary sources.